

**BEFORE THE BOARD OF EDUCATIONAL EXAMINERS  
OF THE STATE OF IOWA**

JUN 15 2016

In the matter of:	)	BOEE Case No. 15-153
	)	Folder # 235500
<b>JOYCE A. SCHMIDT,</b>	)	
	)	<b>SETTLEMENT AGREEMENT</b>
Respondent.	)	<b>AND FINAL ORDER</b>

In accordance with the provisions of Iowa Code sections 17A.12(5) and 272.2(4) and 282 IAC 11.4(6), and with full knowledge of the right to demand a formal hearing before the Board upon the pending complaint prior to the imposition of sanction upon her license, the Respondent expressly waives the right to a disciplinary hearing and notifies the Board of her desire to resolve the pending complaint through means of informal disposition.

The Respondent concedes the jurisdiction of the Board for all issues relevant hereto and voluntarily consents to the State's counsel presenting this agreement to the Board with the terms provided. If the Board does not accept the terms of this agreement, the disciplinary hearing will be rescheduled and the stipulations contained herein are not binding upon the Respondent and will not be presented against her at the time of hearing without further agreement of the Respondent.

Board approval of this settlement shall constitute resolution of this matter and will be entered as a FINAL ORDER of the Board.

**STIPULATIONS**

1. Respondent holds a STANDARD LICENSE (FOLDER # 235500) with the following endorsements: K-6 Teacher Elementary Classroom; K-8 Mildly Disabled; K-8 Behavioral Disorders; K-8 Mental Disabilities Mild/Moderate; K-8 Multicategorical Resource Mild; K-8 Multicategorical Special Class with Integration; and K-8 Instructional Strategist I: Mild/Moderate. Respondent's license expired on February 29, 2016. Respondent also holds an expired Class B License and an expired Class A License.
2. During the relevant facts of this matter, Respondent was employed as a special education teacher with the Waterloo Community School District.
3. On October 21, 2015, the Board of Educational Examiners received a complaint against Respondent alleging a violation of the Code of Ethics.
4. On January 14, 2016, the Board found probable cause to proceed to hearing based upon the facts set forth in paragraph 7 below.
5. The Board charged Respondent with violation of Board rule 282 Iowa Administrative Code rule 25.3(3)(e), which prohibits falsifying or deliberately

misrepresenting or omitting material information regarding the evaluation of students or personnel, including improper administration of any standardized tests, including, but not limited to, changing test answers, providing test answers, copying or teaching identified test items, or using inappropriate accommodations or modifications for such tests. The Board also charged Respondent with violation of Board rule 282 Iowa Administrative Code rule 25.3(6)(m), which prohibits failing to comply with federal, state, and local laws applicable to the fulfillment of professional obligations.

6. The Board issued a Notice of Hearing and Statement of Charges on February 4, 2016. Hearing is currently scheduled for April 7, 2016. The State has not yet successfully served Respondent.

7. Investigation revealed Respondent entered progress monitoring data without having actually utilized the behavior plan that was to be used to collect the data. Other discrepancies were also discovered in data entered for students on Respondent's class roster.

### **SETTLEMENT AGREEMENT**

8. This Settlement Agreement and Final Order constitutes the final resolution of a contested case proceeding and shall have the force and effect of a disciplinary order entered following a contested case hearing.

9. In order to resolve this matter without proceeding to hearing, Respondent agrees to the following conditions:

- a. Respondent accepts a WRITTEN REPRIMAND.
- b. Respondent agrees to successfully complete at least fifteen in-person contact hours in "Ethics for Educators." This course is offered by the ISEA. Respondent is responsible for all costs associated with the completion of the educational course. Respondent shall provide the Board with proof of completion of the Ethics for Educators course within one (1) year of the Board's acceptance of this Settlement Agreement and Final Order.

### **LICENSEE DECLARATION**

I understand that this Settlement Agreement and Final Order is subject to the approval of the Board and will have no force or effect if it is not accepted by the Board.

I understand State's counsel will present this Settlement Agreement and Final Order to the Board *ex parte*.

I agree to comply with the requirements set forth in the stipulations and requirements of this Settlement Agreement and Final Order and understand that my failure to do so can result in additional discipline of my teaching license.

I understand that this Settlement Agreement and Final Order is a public record, which will become part of my permanent licensure file and will be available for public inspection and reproduction.

I understand that my name will be added to the national clearinghouse database kept by the National Association of State Directors of Teacher Education and Certification (NASDTEC).

June 1, 2016  
Date

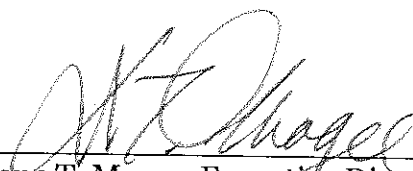
Joyce A. Schmidt  
Joyce A. Schmidt, Respondent

## ORDER

IT IS THE FINAL ORDER OF THE IOWA BOARD OF EDUCATIONAL EXAMINERS THAT:

1. The conduct with which Respondent has been charged constitutes a violation of the Code of Professional Conduct and Ethics governing the teaching profession. Respondent is REPRIMANDED for the charged conduct.
2. Respondent shall successfully complete at least fifteen in-person contact hours in "Ethics for Educators." Respondent is responsible for all costs associated with the completion of the educational course. Respondent shall provide the Board with proof of completion of the Ethics for Educators course within one (1) year of the date of this Order.
3. In determining the appropriate sanction to impose in this case, the Board has considered the nature and seriousness of the allegations as well as mitigating circumstances.

Dated this 17<sup>th</sup> day of June, 20 16.

  
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Duane T. Magee, Executive Director  
Iowa Board of Educational Examiners

Copies to:

Joyce A. Schmidt  
RESPONDENT

Jordan Esbrook  
ATTORNEY FOR THE STATE

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In the matter of	)	Case No. 15-153
	)	Folder No. 235500
<b>JOYCE A. SCHMIDT,</b>	)	
	)	<b>NOTICE OF HEARING</b>
Respondent.	)	<b>AND STATEMENT OF CHARGES</b>
	)	<b>[REISSUED]<sup>1</sup></b>

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**YOU ARE HEREBY NOTIFIED** that the Iowa Board of Educational Examiners, exercising the jurisdiction conferred by Iowa Code chapters 17A and 272, has found probable cause of a violation of Board rules and ordered this matter scheduled for hearing.

**A. TIME, PLACE AND NATURE OF HEARING**

1. Hearing will be held on Thursday, June 23, 2016, before Administrative Law Judge Laura Lockard, acting on behalf of the Iowa Board of Educational Examiners. The hearing shall begin at 9:00 a.m. in Department of Inspections and Appeals, Wallace State Office Bldg, Third Floor, 502 E. 9<sup>th</sup> Street (East 9<sup>th</sup> and Grand Avenue), Des Moines, Iowa. You should report to the third floor Iowa Department of Inspections and Appeals' (DIA) receptionist prior to 9:00 a.m. to obtain the room assignment.

2. Answer. Within twenty (20) days of the date of service of this Notice of Hearing, you are required to file an Answer specifically admitting, denying, or otherwise responding to the allegations included within the Factual Allegations. In that Answer, you should also state whether you will require an adjustment of the date and time of the hearing. A copy of the Answer shall be provided by the Respondent to the Assistant Attorney General identified below.

3. Hearing Procedures. The procedural rules governing the conduct of the hearing are found at 282 Iowa Administrative Code chapter 11. At hearing, you may appear personally or be represented by an attorney, at your own expense. You will be allowed the opportunity to respond to the charges against you. Each party will be allowed to testify, examine and cross-examine witnesses, and present documentary evidence. If you fail to appear at the hearing, the Board may enter a default decision or proceed with the hearing and render a decision in your absence. If you need to request an alternative time or date for hearing, you must comply with the requirements of 282 Iowa Administrative Code rule 11.19.

If either party wishes to present telephonic testimony or to participate in the hearing by telephone, arrangements must be made at least ten (10) days in advance of the hearing date by filing a written request with the presiding Administrative Law Judge, Department of Inspections and Appeals, Wallace State Office Building, Des Moines, Iowa 50319, or by faxing a written request to (515) 281-4477. A copy of the request for telephonic testimony

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<sup>1</sup> This Notice of Hearing and Statement of Charges (NOH-SOC) was initially issued to Respondent on February 4, 2016, and sent by restricted certified mail and by first-class mail. As of April 7, 2016, we have not received confirmation of service. The NOH-SOC sent restricted certified was returned marked "unclaimed" necessitating this reissuance of the same NOH-SOC with a new hearing date and to be served by sheriff.

must be served on the Board and all parties. Any resistance to the request for telephone testimony must be filed within five (5) days of service of the notice.

4. Pre-hearing conference. Either party may request a pre-hearing conference to discuss evidentiary issues related to the hearing. The Board rules regarding pre-hearing conferences are found in 282 Iowa Administrative Code rule 11.18.

5. Prosecution. The office of the Attorney General is responsible for prosecuting and representing the public interest (the State) in this proceeding. Pleadings shall be filed with the Board and copies should be provided to counsel for the State at the following address:

Renner K. Walker  
Assistant Attorney General  
Iowa Department of Justice  
2<sup>nd</sup> Floor, Hoover State Office Building  
Des Moines, Iowa 50319  
Telephone (515) 281-7175

6. Communications. You may not contact Board members by phone, letter, facsimile, e-mail, or in person about this Notice of Hearing or the pending charges. Board members may only receive information about the case when all parties have notice and an opportunity to participate, such as at the hearing or in pleadings you file with the Board office and serve on all parties in the case. You should direct any questions about this proceeding to Duane T. Magee, the Board's Executive Director, at (515) 281-5849 or to Assistant Attorney General Walker at (515) 281-7175.

## **B. SECTIONS OF STATUTES AND RULES INVOLVED**

### **Count I**

7. Respondent is charged with falsifying or deliberately misrepresenting or omitting material information regarding the evaluation of students or personnel, including improper administration of any standardized tests, including, but not limited to, changing test answers, providing test answers, copying or teaching identified test items, or using inappropriate accommodations or modifications for such tests, in violation of 282 Iowa Administrative Code rule 25.3(3)(e).

### **Count II**

8. Respondent is charged with failing to comply with federal, state, and local laws applicable to the fulfillment of professional obligations, in violation of 282 Iowa Administrative Code rule 25.3(6)(m).

## **C. JURISDICTION AND LEGAL AUTHORITY**

9. The Board has jurisdiction in this matter pursuant to Iowa Code chapters 17A and 272. If any of the allegations against you are proven at hearing, the Board has authority to take disciplinary action against you under Iowa Code chapters 17A and 272, and 282 Iowa Administrative Code chapter 11.

#### **D. FACTUAL CIRCUMSTANCES**

10. Respondent holds a STANDARD LICENSE (FOLDER # 235500) with the following endorsements: K-6 Teacher Elementary Classroom; K-8 Mildly Disabled; K-8 Behavioral Disorders; K-8 Mental Disabilities Mild/Moderate; K-8 Multicategorical Resource Mild; K-8 Multicategorical Special Class with Integration; and K-8 Instructional Strategist I: Mild/Moderate. Respondent's license is current and will next expire on February 29, 2016. Respondent also holds an expired Class B License and an expired Class A License.

11. During all material events of this case, Respondent was employed as a special education teacher by the Waterloo Community School District.

12. On October 21, 2015, the Board of Educational Examiners received a complaint against Respondent alleging various violations.

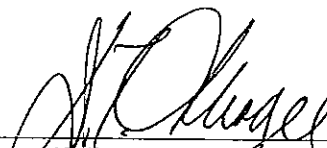
13. On January 14, 2016, the Board found probable cause to proceed to hearing based upon the facts set forth herein and delineated in further detail within the complaint and investigation file compiled by the Board.

14. Investigation revealed Respondent entered progress monitoring data without having actually utilized the behavior plan that was to be used to collect the data. Other discrepancies were also discovered in data entered for students on Respondent's class roster.

#### **E. SETTLEMENT**

15. This matter may be resolved by surrender of your license or an agreement to accept a lesser sanction. The procedural rules governing the Board's settlement process are found at 282 Iowa Administrative Code rule 11.4(6). If you are interested in pursuing settlement of this matter, please contact the Assistant Attorney General identified in Section A, above.

Dated this 7<sup>th</sup> day of April, 2016.

  
\_\_\_\_\_  
Duane T. Magee, Executive Director  
Iowa Board of Educational Examiners

Copies to:

Joyce A. Schmidt (personal service)  
RESPONDENT

Renner K. Walker (electronic mail)  
ATTORNEY FOR STATE

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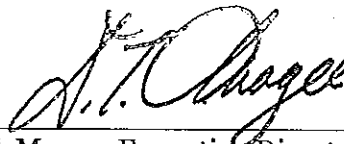
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Dated this 4<sup>th</sup> day of February, 2015.



Duane T. Magee, Executive Director  
Iowa Board of Educational Examiners

Copies to:

Joyce A. Schmidt (first-class mail and restricted certified mail)  
RESPONDENT

Renner K. Walker (electronic mail)  
ATTORNEY FOR STATE